## DTES Rec'd PCT/PTO 1.4 MAR 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

DEC	NONATEDIEL FOTED	2081-1-001PC1/03								
CONCE	SIGNATED/ELECTED RNING A SUBMISSIC	U.S. APPLICATION NO HIT IN 1997, THE 87 CER 1.5)								
INTERNATION PCT/US2003	AL APPLICATION NO. /028676	INTERNATIONAL FILING DATE September 12, 2003	PRIORITY DATE CLAIMED September 12, 2002							
TITLE OF INVENTION										
CANCER ASSOCIATED ANTIGENS, SGA-56M AND SGA-56Mv, AND USES THEREOF APPLICANT(S) FOR DO/EO/US										
Joseph M. Petroziello; Che-Leung Law; Andrew K. Yamane; and Alan F. Wahl										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
_	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.  This is (5), (	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.  The l	The US has been elected (Article 31).									
5. 🛮 Ac	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a.	a. a is attached hereto (required only if not communicated by the International Bureau).									
b.	b. Mas been communicated by the International Bureau.									
C.	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a.	<b></b>									
b.	has been previously submit	tted under 35 U.S.C. 154(d)(4).								
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a.										
⊌ <b>b</b> .	b. have been communicated by the International Bureau.									
C.	c. have not been made; however, the time limit for making such amendments has NOT expired.									
. <b>.</b> d.	have not been made and	will not be made.								
8. 🔲 An	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. 🔽 An	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNEXECUTED									
10. 🔲 An	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s) or information included:										
11. 🔲 🛮 An	Information Disclosure Statement	under 37 CFR 1.97 and 1.98.								
12. 🔲 An	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. 🗹 A p	A preliminary amendment.									
14. 🗹 🛮 An	An Application Data Sheet under 37 CFR 1.76.									
15. 🗖 A s	A substitute specification.									
16. 🔲 A p	A power of attorney and/or change of address letter.									
17. 🗹 A d	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. A s	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19. 🔲 A s	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. 🗹 Oth	DRAWINGS-13 SHEETS-FIG.1A-9; SEQ LIST-PAPER; SEQ. STATEMENT Other items or information:									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

DATE OF DEPOSIT: March 14, 2005



jÀ

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER				
	10/52	2681-1-001PCT/US							
The follo	owing fees have b	CALCULATIONS	PTO USE ONLY						
21. 🔽 Basid	national fee	\$ 300.00							
If International pr PCT Article 33	ination fee eliminary examina (1)-(4)	\$ 200.00							
Search fee (37 C	nal Searching Aut rch Report prepar	\$ 500.00							
	TOTAL OF 21, 22	\$ 1000.00							
Additional fee sequence lis	e for specification ting or computer 250 for each addit								
Total Sheets	Extra Sheets		ch additional 50 or fraction up to a whole number)	RATE					
120 - 100 =	20 /50 =		1	x \$250	\$ 250.00				
Surcharge of \$13 claimed priority d		\$							
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$				
Total claims		20 - 20 =	0	× \$50	\$ 0				
Independent clair	ns	3 -3=	0	× \$200	\$ 0				
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$ 0				
	•		TOTAL OF ABOVE	CALCULATIONS =	\$ 1250.00				
Applicant cla	ims small entity s	tatus. See 37 CF	R 1.27. Fees above are reduc	ed by 1/2.	625.00				
	<del> </del>	\$ 625.00							
Processing fee of claimed priority d		\$							
B			TOTAL	NATIONAL FEE =	\$ 625.00				
Fee for recording	the enclosed ass cover sheet (37	\$							
			TOTAL F	EES ENCLOSED =	\$ 625.00				
		Amount to be refunded:	\$						
					Amount to be charged:	\$			
a. A chec	k in the amount o	f\$ 625.00	to cover the abo	ove fees is enclosed.					
b. Please charge my Deposit Account No. 11-1153 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit									
Account No. 11-1153 . A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not									
be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed									
and granted to restore the International Application to pending status.									
1	RESPONDENCE	L'ack							
KLAUBER &		kkson, Esq.							
4TH FLOOR		<u></u>							
HACKENSA									
PHONE: 20		ON NUMBER							